

COL7160 : Quantum Computing  
Lecture 10: Lower Bound for Simon's Problem

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## 1 Recap: Simon's Problem

In the last class, we discussed Simon's problem, which is one of the earliest examples demonstrating exponential quantum speedup over classical algorithms.

### 1.1 Problem Statement

**Definition 1** (Simon's Problem). We are given a function  $f : \{0, 1\}^n \rightarrow \{0, 1\}^n$  with the following promise:

**Promise:** There exists an unknown string  $s \in \{0, 1\}^n$  such that for all  $x, y \in \{0, 1\}^n$ ,

$$f(x) = f(y) \iff y = x \text{ or } y = x \oplus s,$$

where  $\oplus$  denotes bitwise XOR.

**Goal:** Find the hidden string  $s$ .

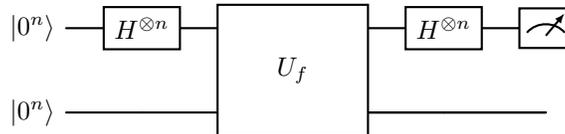
In other words, the function  $f$  is either one-to-one (when  $s = 0^n$ ) or exactly two-to-one (when  $s \neq 0^n$ ), where each output has exactly two pre-images  $x$  and  $x \oplus s$ .

### 1.2 Simon's Quantum Algorithm

The quantum algorithm for Simon's problem with the measurement at the end proceeds as follows. Remember that we were given access to an oracle  $U_f$  that implements:

$$U_f : |x\rangle |b\rangle \mapsto |x\rangle |b \oplus f(x)\rangle.$$

The quantum circuit for Simon's algorithm is:



Let us trace through the state evolution:

**Step 1:** Initialize the first register to  $|0^n\rangle$  and second register to  $|0^n\rangle$ :

$$|\psi_0\rangle = |0^n\rangle |0^n\rangle.$$

**Step 2:** Apply Hadamard gates to the first register:

$$|\psi_1\rangle = \frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} |x\rangle |0^n\rangle.$$

**Step 3:** Apply the oracle  $U_f$ :

$$|\psi_2\rangle = \frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} |x\rangle |f(x)\rangle.$$

Since  $f(x) = f(x \oplus s)$  for all  $x$ , we can rewrite this as:

$$|\psi_2\rangle = \frac{1}{\sqrt{2^n}} \sum_{z \in \text{Image}(f)} (|x_z\rangle + |x_z \oplus s\rangle) |z\rangle,$$

where  $x_z$  is some fixed pre-image of  $z$ .

**Step 4:** Apply Hadamard gates to the first register. For any  $x \in \{0, 1\}^n$ , we have:

$$H^{\otimes n} |x\rangle = \frac{1}{\sqrt{2^n}} \sum_{y \in \{0, 1\}^n} (-1)^{x \cdot y} |y\rangle,$$

where  $x \cdot y = \sum_{i=1}^n x_i y_i \pmod{2}$  is the inner product modulo 2.

Applying this to our state:

$$\begin{aligned} |\psi_3\rangle &= \frac{1}{2^n} \sum_{z \in \text{Image}(f)} \sum_{y \in \{0, 1\}^n} \left[ (-1)^{x_z \cdot y} + (-1)^{(x_z \oplus s) \cdot y} \right] |y\rangle |z\rangle \\ &= \frac{1}{2^n} \sum_{z \in \text{Image}(f)} \sum_{y \in \{0, 1\}^n} (-1)^{x_z \cdot y} [1 + (-1)^{s \cdot y}] |y\rangle |z\rangle. \end{aligned}$$

The key observation is that the term  $[1 + (-1)^{s \cdot y}]$  equals:

$$1 + (-1)^{s \cdot y} = \begin{cases} 2 & \text{if } s \cdot y = 0 \pmod{2}, \\ 0 & \text{if } s \cdot y = 1 \pmod{2}. \end{cases}$$

Therefore, when we measure the first register, we obtain a uniformly random  $y \in \{0, 1\}^n$  such that  $s \cdot y = 0 \pmod{2}$ . This gives us one linear equation over  $\mathbb{F}_2$  (the field with two elements) satisfied by  $s$ .

**Step 5:** Repeat the algorithm  $O(n)$  times to collect  $n - 1$  linearly independent equations of the form  $s \cdot y_i = 0$ . By solving these linear equations we get a unique non-zero solution  $s \in \{0, 1\}^n$ . If  $f(0) = f(s)$  then it is a two-to-one function with the promise that  $f(x) = f(x \oplus s)$ . Otherwise,  $f$  is a one-to-one function.

### 1.3 Analysis

The quantum algorithm requires  $O(n)$  queries to the oracle and  $O(n^3)$  classical post-processing time to solve the system of linear equations. This provides an exponential speedup over any classical algorithm, as we will see in the next section.

*Note 2.* The principle demonstrated here is general: it is always possible to defer all measurements to the end of a quantum circuit. Refer to the exercise 7 of chapter 2 in Ronald's Notes [dW23].

## 2 Classical Algorithms for Simon's Problem

### 2.1 Classical Randomized Algorithm

A natural randomized approach is to query the function at random inputs and check for collisions.

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#### Algorithm 1 Randomized Algorithm for Simon's Problem

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- 1: **Input:** Oracle access to  $f : \{0, 1\}^n \rightarrow \{0, 1\}^n$  satisfying Simon's promise
- 2: **Output:** The hidden string  $s$
- 3: Choose  $x_1, x_2, \dots, x_T$  uniformly at random from  $\{0, 1\}^n$
- 4: **for**  $i = 1$  to  $T$  **do**
- 5:     **for**  $j = 1$  to  $i - 1$  **do**
- 6:         **if**  $f(x_i) = f(x_j)$  **then**
- 7:             **return**  $s = x_i \oplus x_j$
- 8:         **end if**
- 9:     **end for**
- 10: **end for**
- 11: **return**  $s = 0^n$

▷ No collision found

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**Theorem 3.** Let  $X = 2^{n-1}$  be the number of pairs in the partition induced by  $s$ . If we make  $T$  random queries, the probability of not finding a collision is at most  $e^{-T^2/(2X)} = e^{-T^2/2^n}$ .

*Proof.* For each query  $x_i$ , let  $A_i$  be the set of all previously queried outputs. Initially,  $|A_1| = 0$ . After  $i - 1$  queries,  $|A_i| = i - 1$ .

The probability that  $f(x_i)$  does not collide with any element in  $A_i$  is:

$$\Pr[\text{no collision at step } i \mid \text{no collision in steps } 1, \dots, i - 1] = 1 - \frac{|A_i|}{X}.$$

This is because if the previous  $i - 1$  queries sampled from distinct pairs, then there are  $X - (i - 1)$  pairs left, but we need the new query to hit one of the  $i - 1$  already-sampled pairs to get a collision. The probability of this is  $\frac{i-1}{X}$ . The probability of no collision after  $T$  queries is:

$$\begin{aligned} \Pr[\text{no collision}] &= \prod_{i=1}^T \left(1 - \frac{i-1}{X}\right) \\ &= \prod_{i=1}^T \left(1 - \frac{i-1}{2^{n-1}}\right). \end{aligned}$$

Using the inequality  $1 - x \leq e^{-x}$  for  $x \geq 0$ :

$$\begin{aligned} \Pr[\text{no collision}] &\leq \prod_{i=1}^T \exp\left(-\frac{i-1}{2^{n-1}}\right) \\ &= \exp\left(-\frac{1}{2^{n-1}} \sum_{i=0}^{T-1} i\right) \\ &= \exp\left(-\frac{T(T-1)}{2 \cdot 2^{n-1}}\right) \\ &\leq \exp\left(-\frac{T^2}{2^n}\right). \end{aligned}$$

□

**Corollary 4.** To achieve a constant success probability (say,  $1/2$ ), we need  $T = O(\sqrt{2^n}) = O(2^{n/2})$  queries.

*Proof.* Setting  $e^{-T^2/2^n} \leq 1/2$ , we get:

$$-\frac{T^2}{2^n} \leq \ln(1/2) = -\ln 2,$$

which gives  $T^2 \geq 2^n \ln 2$ , so  $T = O(2^{n/2})$ .

□

## 2.2 Lower Bound for Randomized Algorithms

**Claim 5.** No randomized classical algorithm can solve Simon's problem with constant success probability using significantly fewer than  $\Theta(2^{n/2})$  queries.

**Intuition:** Suppose we have made  $k$  queries and obtained values  $x_1, x_2, \dots, x_k$  with no collisions. Then  $s$  does not belong to the set:

$$S_k = \{x_i \oplus x_j : 1 \leq i < j \leq k\}.$$

The size of  $S_k$  is at most  $\binom{k}{2} = \frac{k(k-1)}{2}$ . The total number of possible non-zero values for  $s$  is  $2^n - 1$ . Therefore, the number of remaining possible values for  $s$  is at least:

$$2^n - 1 - \binom{k}{2}.$$

By making one additional query  $x_{k+1}$ , we can check whether  $s \in \{x_i \oplus x_{k+1} : 1 \leq i \leq k\}$ , which has size  $k$ . The probability that we find a collision at step  $k + 1$ , given no collision in the first  $k$  steps, is:

$$\Pr[\text{collision at step } k + 1 \mid \text{no collision in steps } 1, \dots, k] = \frac{k}{2^n - 1 - \binom{k}{2}}.$$

This probability remains small until  $k$  is close to  $2^{n/2}$ . More formally:

$$\begin{aligned} \Pr[\text{no collision after } k \text{ queries}] &= \prod_{i=1}^k \left( 1 - \frac{i-1}{2^n - 1 - \binom{i-1}{2}} \right) \\ &= \prod_{i=1}^k \left( \frac{2^n - 1 - \binom{i-1}{2} - i + 1}{2^n - 1 - \binom{i-1}{2}} \right) \\ &= \prod_{i=1}^k \left( \frac{2^n - 1 - \binom{i}{2}}{2^n - 1 - \binom{i-1}{2}} \right) \\ &\geq 1 - \frac{k^2}{2^n}, \end{aligned}$$

For constant success probability, we need  $k^2/2^n = \Theta(1)$ , which gives  $k = \Theta(2^{n/2})$ .

This analysis shows that Simon's problem exhibits an exponential quantum speedup: quantum algorithms require  $O(n)$  queries while classical algorithms (both deterministic and randomized) require  $\Omega(2^{n/2})$  queries. This was one of the first concrete examples demonstrating the power of quantum computation.

In the following reference, they have proven the lower bound for deterministic algorithms as well similarly [?]

### 3 Integer Factorization

We now turn to one of the most celebrated applications of quantum computing: Shor's algorithm for integer factorization. This algorithm demonstrates quantum supremacy on a problem of immense practical importance.

#### 3.1 Problem Statement

**Definition 6** (Integer Factorization).

**Input:** A positive integer  $N > 2$ .

**Output:** The prime factorization of  $N$ , i.e., express  $N$  as:

$$N = p_1^{k_1} \cdot p_2^{k_2} \cdot \dots \cdot p_m^{k_m},$$

where  $p_1, p_2, \dots, p_m$  are distinct primes and  $k_1, k_2, \dots, k_m$  are positive integers.

Integer factorization is believed to be computationally hard for classical computers.

### 4 Order Finding Problem

**Definition 7** (Order Finding Problem).

**Input:** Positive integers  $N$  and  $a < N$  satisfying  $\gcd(a, N) = 1$ .

**Output:** The smallest positive integer  $r$  such that:

$$a^r \equiv 1 \pmod{N}.$$

This integer  $r$  is called the *order* of  $a$  modulo  $N$ .

*Remark 8.* The order  $r$  always exists and divides  $\varphi(N)$ , where  $\varphi$  is Euler's totient function. By Euler's theorem,  $a^{\varphi(N)} \equiv 1 \pmod{N}$  for any  $a$  coprime to  $N$ .

## 5 Period Finding Problem

The order finding problem can be reformulated as a period finding problem on a particular function.

**Definition 9** (Period Finding Problem).

**Given:** Oracle access to a function  $f : \{0, 1, \dots, n-1\} \rightarrow \{0, 1, \dots, n-1\}$ .

**Promise:** There exists an integer  $r$  (the period) such that for all  $x \in \{0, 1, \dots, n-1\}$ :

$$f(x) = f(x + r \bmod n).$$

**Output:** Find the period  $r$ .

For the order finding problem with modulus  $N$  and base  $a$ , we define:

$$f(x) = a^x \bmod N.$$

Then  $f$  is periodic with period  $r$ , the order of  $a$  modulo  $N$ , because:

$$f(x+r) = a^{x+r} = a^x \cdot a^r \equiv a^x \cdot 1 = a^x = f(x) \pmod{N}.$$

## 6 Phase Estimation Problem

**Definition 10** (Phase Estimation Problem:). **Given:**

- A unitary operator  $U$  (given as a quantum circuit or as an oracle).
- A *quantum* state  $|\psi\rangle$  of  $U$  such that:

$$U |\psi\rangle = \lambda |\psi\rangle,$$

where  $\lambda$  is the corresponding eigenvalue.

Since  $U$  is unitary, we must have  $|\lambda| = 1$ , so we can write:

$$\lambda = e^{2\pi i \theta}$$

for some  $\theta \in [0, 1)$ .

**Goal:** Estimate the phase  $\theta$  to a desired precision.

## References

[dW23] Ronald de Wolf. Quantum computing: Lecture notes, 2023.